

Workforce Race Equality Standard

Report and Action Plan 2020



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Introduction

The Workforce Race Equality Standard (WRES) is a national standard introduced in July 2015. The aim of the WRES is to respond to lack of progress in race equality in the NHS highlighted in reports such as Roger Kline's, "Snowy White Peaks" of the NHS.

The WRES expects NHS organisations to report on and demonstrate progress against nine workforce metrics. The Standard has been included in the NHS Standard Contract since15/16.

The WRES has nine metrics:

- Four metrics associated with workforce data.
- Four Staff Survey metrics reported by ethnicity
- One metric focused on Board diversity.

Our Board agreed targets in July 2016 using 2016 WRES data as a starting point (see Appendix 1). Targets are intended to be achieved or maintained by 2021.

Reporting on the nine WRES data metrics is a mandatory requirement as is a having an action plan to address any deficits identified reporting takes place through:

This report covers our WREs data report submitted to NHS digital in August 2020. It highlights areas of progress and areas where improvement is still required. Our 2020/21 Action plan is also included in this report.

In 2020 our report showed that overall 76.2% of our staff identify their ethnicity as White and 14.6% as BME. We do not have a record of ethnicity for 9.2% of our staff. The national WRES report, regional data indicates that in the North East and Yorkshire 86.2% of NHS staff identify as white and 10.5% as BME with only 3.3% not known. Our organisations not known figure is high in comparison to other organisations and this is an area that will be addressed in our updated action plan for 2020/21.

All staff data in this report includes our Bank staff, the reason for this is that Bank staff are subject to disciplinary procedures. WRES guidance says that if Bank staff are included they need to be included across all metrics. Trust WRES targets agreed by our Board in 2016 can be found in Appendix 1, progress against these targets is reported in the action plan section of this report at page 21 The national WRES programme now expects organisations to have a WRES score for Metrics two three and four within the 'non – adverse' impact range of 0.80 to 1.25.

Metric One

The Percentage of staff in each of the Agenda for Change Bands 1-9 (or equivalent) and VSM (including Executive Board members) compared with the percentage of staff in the overall workforce.

The reportable data for metric one is split into Clinical and Non- Clinical, which posts sit where, is defined in the WRES national guidance.

The table below shows the breakdown of staff in the clinical, non – clinical and medical groups for 2020. Percentages are based on the numbers of staff in that group rather than the overall workforce and show the percentage of staff in each band in that group.

Change in the numbers and percentage of BME staff employed between 31st March 2016 and 31st March 2020

Looking at the change in the numbers of staff can be misleading due to the changes in overall numbers of staff in our organisation. In this year's report staffing data for Agenda for Change Bands is provided below in four Clusters. This shows progress in the numbers of staff in different Bands alongside how this translates into the percentage of people in each cluster between 2019 and 2020.

Information is also provided about the percentage change in medical staffing groups by ethnicity between 2019 and 2020.

	2019				
	White	BME	Not		
			Known		
Under Band 1	9	2	0		
Band 1	1	0	0		
Band 2	378	130	69		
Band 3	377	66	83		
Band 4	176	21	9		
Cluster 1 Total	941	219	161		
Cluster 1 Percentage	71%	17%	12%		

2020						
White	BME	Not Known				
0	0	0				
0	0	0				
349	133	64				
344	62	35				
189	15	10				
882	210	109				
73%	17%	9%				

Band 5	342	70	37		308
Band 6	395	31	30		398
Band 7	210	20	10		218
Cluster 2 Total	947	121	77		924
Cluster 2 Percentage	83%	11%	7%		79%
Band 8A	95	7	6		109
Band 8B	41	1	1		40
Cluster 3 Total	136	8	7		149
Cluster 3 Percentage	90%	5%	5%		89%
Band 8C	20	1	3		17
Band 8D	13	2	0		12
Band 9	5	0	1		2
Cluster 4 Total	38	3	4		31
Cluster 4 Percentage	84%	7%	9%		82%

Medical

	White		BME		not known	
	2019	2020	2019	2020	2019	2020
Consultant	57.1%	56%	32.7%	31%	10.2%	13.6%
of which senior medical manager	10.7%	9%	12.5%	0%	0.0%	0.0%
non- consultant career grade	75.0%	62%	12.5%	29%	12.5%	9.5%
trainee grade	62.5%	61%	27.5%	30%	10.0%	9.1%
other	70.0%	60%	23.3%	23%	6.7%	16.7%

In 2020 the number of BME staff in senior roles continues to increase slowly however progress remains a long way from achieving the targets agreed by our Board in 2016.

• Change in Cluster one is heavily influenced by the Bank which has a high percentage of BME staff. One of our targets is to increase the number of BME staff in Bands 3 and 4 however since 2016 there has been a net loss of 34 BME posts. Since this target was set there is a greater understanding of the influence of Bank staffing numbers on Band 3 and this is part of the reason for the loss of 27 posts in band 3 over the period however Band 4 posts may be a stepping stone for some staff into higher Bands and the loss of Band 4 post is a concern. Some focused work on Band 4 will be completed alongside looking at development opportunities

for people in Bands two to five. The number of not known in Band 3 has reduced in 2020 to 8% from 17% in 2019, our target is 5%.

	No of BME staff 2016	No of BME staff 2017	No of BME staff 2018	No of BME staff 2019	No of BME staff 2020	Change 19/20	Change 16/20
Band 2	108	119	119	130	133	3	25
Band 3	89	84	65	66	62	-4	-27
Band 4	22	18	20	21	15	-6	-7
Band 5	78	67	68	70	75	5	-3
Band 6	26	33	35	31	35	4	9
Band 7	14	17	18	20	23	3	9
Band 8a	4	6	7	7	8	1	4
Band 8b	0	0	0	1	1	0	1
Band 8c	1	1	1	1	2	1	1
Band 8d	0	0	0	2	2	0	2
Band 9	0	0	0	0	0	0	0

Change in cluster 2 indicates the most positive progress with the number of people from BME groups increasing in Bands 6 and 7 our target for the percentage of BME staff in Band 6 and band 7 is 9%. The target has been reached in 2020 and for band 7 and is progressing well for Band 6. The Increase in the number of staff in Band 5 from BME groups is a national trend and overall (as is the case nationally) staff in these groups are proportionately over represented. Band 5 is predominantly our nursing staff and the changes in the number of staff in bands 6 and 7 are mainly in clinical roles.

	White	BME	Not Known	White	BME	Not Known
Band 6	395	31	30	398	35	32
Band 7	210	20	10	218	23	21
Band 6	2019	6.80%		2020	7.53%	
Band 7	2019	8.33%		2020	8.78%	
Band 6	395	31		398	35	
Band 7	210	20		218	23	
Band 6	2019	7.28%	NK excluded	2020	8.08%	NK excluded
Band 7	2019	8.70%	NK excluded	2020	9.54%	NK excluded

- The number of staff from BME groups in bands 8a and 8b has grown but in the last two years at least three BME staff in Band 8a have left to take up posts in other trusts, more needs to be done to understand this.
- Cluster 4 represents some of our most senior people and there has been a positive increase in BME staff in this cluster since 2016, they reflect senior leadership in our organisation and are key to continuing to develop the diversity or the organisation at a very senior level.

In 2016 a target of 9% for BME staff in Bands 8a, b, c, d was agreed. Although progress has been made it is unlikely that this target will be met by 2021. A new action has been identified to look at the reasons why BME staff in band 8a have left our organisation and also to understand the level of opportunity currently for achieving posts in senior Bands.

	White	BME	Not Known	White	BME	Not Known
Band 8 a, b, c, d	169	11	10	178	13	11
	2019	5.79%		2020	6.44%	
Band 8 a ,b, c, d	2019	6.11%	NK excluded	2020	6.81%	NK excluded

Metric Two

The relative likelihood of staff being appointed from shortlisting across all posts.

2016	2017	2018	2019	2020
1.48	0.88	0.94	0.81	1.06

This result is within the within the 'non – adverse' impact range of 0.80 to 1.25, however this data does include Bank staff recruitment and we know that there is a high percentage of BME staff registered with the Trust Bank so this is a factor to be mindful of. As above we have identified priority areas for recruitment and members of our BAME staff Network group continue to be involved in recruitment of posts at Band 7 and above.

Metric Three

The relative likelihood of staff entering the formal disciplinary process, as measured by entry into a formal disciplinary investigation.

ethnicity.

2016	2017	2018	2019	2020
1.85	1.55	1.79	1.63	1.98

Unfortunately, in 2020 the WRES metric has increased and is at the highest level it has ever been at 1.98, an increase from 1.63 in 2019. This indicates that BME staff are twice as likely to enter the formal disciplinary process than white staff. Although this is an increase the actual number of staff overall entering the formal disciplinary process has been falling year on year for both white staff and BME staff. Some specific areas to note in looking at this data are:

- The WRES data is taken from a two-year rolling figure. Year on year the number of BME staff in a two-year period entering the disciplinary process is reducing. In the two-year period relevant to the 2020 report there were 8 BME staff but the higher percentage of these staff were in the year 2018 /19. Using only data from 2019/20 brings the relative likelihood figure down to 1.30 which is a significant drop and just above the 1.25 non-adverse range target.
- It is essential that this reduction is maintained and new actions will support closer monitoring and regular review to ensure this is being achieved.
- We have made a commitment to adopt a 'Just and Learning Culture' and this is reflected in our new People Strategy. Where this approach has been taken in other organisations demonstrable reductions in disciplinary action have been achieved overall and we aim to learn from organisations such as Mersey Care who have been developing this approach since 2016.
- The HR department have also been developing their case management reporting and have recently started to report all cases by ethnicity, this will support and ongoing review of progress month by month.
- The number of not know ethnicities for disciplinaries has also increased this year to 3 a new procedure is being put in place to ensure that all staff entering disciplinary procedures have an opportunity to update their ethnicity and are given information about why this is important. This will also support a new action

of aiming to have BME representation in any disciplinary process involving BME staff that where it is agreed that these will be under formal procedures.

Metric Four: Relative likelihood of staff accessing non-mandatory training and CPD.

2016	2017	2018	2019	2020
1.85	0.75	1.20	1.07	1.14

The rating of green for this metric has been reviewed in light of the WRES team focus on the non-adverse range, the grading for 2018 previously reported as amber has been changed to green and the 2020 rating is identified as green as it is below 1.25.

- Our fourth Working Together Conference took place in 2019 and planning is underway for the fifth
- The BME mentoring programme continues to develop with clear examples of where staff have been involved in mentoring achieving promotion.
- In 2019/20 the BME staff network has continued to build its programme of briefing sessions undertaken by members of the group to improve cultural knowledge in services and members of the group.
- An area that has been identified for improvement is to have more opportunities for staff in Bands 2-5 to undertake development. This is an area that is being explored through the work being taken forward by the Organisational development team in collaboration with members of our staff network groups.

Metrics from the NHS Staff experience Annual Survey

Metrics Five, Six, Seven and Eight are taken from the NHS staff experience annual survey and in the 2020 WRES report refer to the 2019 staff survey. The most recent information is from the staff survey 2019 and for metrics five, six and eight relates to staff experience in the previous 12-month period.

In 2019 in total 932 people responded to the staff survey, the number of responses from BME staff to each of the WRES metric questions are recorded in the staff survey tables in this report but around 10% of responses overall to the staff survey were from BME

staff, this compares to the reported response rate for the North east and Yorkshire to the 2018 survey of 8.5% (this detail is not available for the 2019 survey)

Metric Five

Staff experiencing 'harassment bullying or abuse 'from patient's relatives or the public in the last 12 months.

Metric five has been an on-going concern and the experience of BME staff reported in the staff survey is supported by data collected through safeguard reporting.

	2017	2018	2019
White: Your org	34.3%	29.6%	31.7%
BME: Your org	40.7%	47.7%	40.2%
White: Average	31.8%	29.6%	31.7%
BME: Average	36.1%	38.2%	39.7%
White: Responses	706	702	824
BME: Responses	81	88	92

In 2019 the response of BME staff to this question has returned to a similar level to 2017 following a significant rise in 2018. Our results are also now close to the average for mental health and learning disability organisations in the benchmarking group. Although this is a significant improvement in the data from 2018, generally the results from Metric 5 continue to be of most concern. As previously reported this is an issue common to mental health organisations and partnership work had been progressing well, however this was halted in March due to Covid19 pressures and is currently under review in order to catch up with progress that had been made. In the interim the experience of our BME staff network reflects this. Over the last few months it has become clear that our procedures for supporting staff experiencing abuse from service users may not be sufficiently robust and an urgent review of these is taking place in addition the work started in liaison with the hate crime police lead has recommenced. **Metric Six:**

Staff experiencing 'harassment bullying or abuse 'from staff in the last 12 months.

	2017	2018	2019
White: Your org	20.7%	20.0%	21.7%
BME: Your org	14.8%	23.9%	26.4%
White: Average	20.7%	22.4%	21.4%
BME: Average	26.9%	27.2%	25.5%
White: Responses BME: Responses	706 81	706 88	819 91

Metric six focuses on 'harassment bullying or abuse from' staff. Compared to 2018 this metric is worse and our results are worse than the benchmark group. Urgent consideration is needed to understand why our results are worsening over time. Significant work has been done in the last 12 months in our organisation on bullying and harassment and the results of this work is being implemented. It is important that part of the implementation programme looks at the specific impact on BME staff of this initiative.

Metric Seven

Asks staff if they believe that the organisation offers equal opportunities for career progression.

	2017	2018	2019
White: Your org	82.2%	83.0%	82.0%
BME: Your org	81.5%	75.0%	77.8%
White: Average	87.4%	85.1%	85.9%
BME: Average	77.0%	71.9%	74.3%
White: Responses BME: Responses	455 54	482 48	540 63

Although the experience of BME staff is worse than white staff this is not specifically the experience of only our staff, but is reflected nationally, our staff experience appears to be slightly better than for the benchmark group. There are many opportunities in our new People Strategy and work on Organisational Development to build on the work that we are already doing to improve the experience and opportunities for BME staff. This will be achieved through continuing to work with our BME staff network, ensuring that a detailed equality analysis is embedded in key elements of the above strategies and that a specific focus on staff in Bands 2 to 5 takes place

Metric Eight

Asks if staff have experienced discrimination from their manager team leader of colleagues in the last 12 months.

	2017	2018	2019
White: Your org	8.7%	5.5%	7.1%
BME: Your org	7.4%	9.2%	9.1%
White: Average	6.1%	6.2%	6.4%
BME: Average	14.0%	14.1%	14.0%
White: Responses BME: Responses	709 81	703 87	820 88

The data for metric eight indicates that although BME staff in our organisation have a poorer experience than white staff, our organisation is consistently below the benchmark average. A concern is that over the last two staff survey reporting years (2018 and 2019) the results for BME staff have worsened whereas for white staff and the benchmark group results have remained similar for the last three years. A 'Big Conversation' is due to take place, in the next few months part of the aims of which are to better understand the experience of our BME staff.

Metric Nine

Percentage difference between the organisations' Board voting membership and its overall workforce

Trusts are required to look at the percentage difference between the organisations' Board membership and its overall workforce and the data reported is disaggregated:

- By voting membership of the Board
- By executive membership of the Board

For SHSC

Percentage of the workforce, where ethnicity is known, from a BME group = 14.6%

Percentage of Board members from a BME group is 7.7% = a difference of -6.9% in comparison to the overall workforce.

There have been changes in our Board composition and we will be reviewing the impact of these in 2020/21

WRES Action Plan Updated August 2020

Objective	Action to Achieve Objective	Timescale	Action Plan Progress Report	Improvement Measure or Target and progress	/Timescale
The Percentage of staff in Agenda for Change Bands 1-9 (or equivalent) and VSM (including Executive Board members) should be comparable with the percentage of staff in the overall workforce. (Workforce Race Equality Standard Metric 1)	 Review targets by the Board in 2016 and agree new targets. Integrate a focus on development of BME staff into the Trust Leadership and development Achieve through comprehensive EIA of the implementation plans of the People Strategy and the OD Strategy Review the Build Modify Expand BAME Board mentoring programme. Review the availability of development opportunities/programmes for staff in bands 2 to 5 – identify further action based on the outcome 	April 2021 December 2020 December 2020 December 2020	The action for this metric has been updated in August 2020.	 Five-year target to Increase year on yearstaff in staff groups Bands 3 and 4 Bands 6 and 7 Bands 8a, b, c, d And Improve Ethnicity recording for staff in Progress is reported twice a year include through the WRES July/August Position August 2020 – 2020 WRES Reported through the WRES July/August Bands 3 and 4 a net change to a staff in the target increases to 9% h or the target increase for and the target increase for an and the target increase for an an an an an an an an an an	Band 3 to 5% not known ding formal reporting port reduction in 2016 – 2020 o 2016 to 2020 increase of 1 as been achieved for Band 6 is progressing well 2016 to 2019 increase of entage increase is unlikely

Maintain the Relative likelihood of staff from BAME groups being appointed from shortlisting across all posts to between 0.8 and 1.25. (Workforce Race Equality Standard Metric 2)	5. BAME representation on recruitment panels for post at Band 7 and above.	July 2020	No specific changes are proposed to action – priority recruitment areas are to bands 6 upwards.	The WRES national target is between 0.8 and 1.25 The Position in August 2020 is based on the last WRES Report in August 2020 Trust Metric Score = 1.06
Decrease the Relative likelihood of staff from BAME groups entering the formal disciplinary process, as measured by entry into a formal disciplinary investigation to a target range of 0.8 to 1.25 (Workforce Race Equality Standard Metric 3)	 Implement the programme to adopt the 'Just and Learning Culture'. Monitor progress with reference to ethnicity data and feedback from the BAME staff network group Case management reporting to report all cases by ethnicity Implement new procedure to ensure that all staff entering disciplinary procedures have an opportunity to update their ethnicity and are given information about why this is important. Introduce procedures so that there is BME representation in any disciplinary process involving BME staff that where it is agreed that these will be under formal procedures. Work with the Covid 19 strategy sub group to share good practice report shared priorities through reporting to the People Committee 	March 2021 Quarterly review Monthly Review October 2020	The progress on improving this metric has been very poor and action has been reviewed and updated in august 2020.	The WRES national target is between 0.8 and 1.25 The Position is based on the last WRES Report in August 2020 Trust Metric Score = 1 .98 This indicates that people identifying as having BAME ethnicity are twice as likely to experience disciplinary procedures and at over 1.25 this is detrimental level.

WRES 4 Race Maintain the Relative likelihood of BAME staff accessing non-mandatory training and CPD to an equal level with White staff. (Workforce Race Equality Standard Metric 4)	 EIA of relevant areas of the People Strategy and Organisational Development strategy delivery plan. Look at development of staff in Bands 2,3 and 4 and 5 	October 2020 December 2020	Action has been reviewed and updated in August 2020	The WRES national target is between 0.8 and 1.25 The Position is based on the WRES Report in August 2020 Trust Metric Score = 1.14 This indicates that people identifying as having BAME ethnicity are as likely to access non – mandatory training as people identifying as having White ethnicity.
Reduce the percentage of staff from BAME groups reporting that they have experienced harassment, bullying or abuse from patients, relatives or the public in last 12 months as reported in the National NHS Staff Experience Survey with a target of being at least equal or below the average of other mental health and learning disability Trusts (Benchmark group) (Workforce Race Equality Standard Metric 5)	 Review the findings of the Survey of staff who have experienced racial harassment from service users is currently out Review incident grading i.e. reflects organisation risk not individual risk. Re-establish action planned with the city hate crime lead Urgently review Trust procedures for supporting staff who have experienced serious abuse Re-establish the metric Five Partnership Action Plan 	October 2020 October 2020 October 2020 September 2020 September 2020	The progress on improving this metric has been very poor and delayed because of Covid19 - action has been reviewed and updated in august 2020	The Position in July 2020 is based on the 2019 staff survey. SHSC is above the average benchmark SHSC BAME 40.2% Benchmark BAME Group 39.7%

Reduce the percentage of staff from BAME groups reporting that they have experience of staff harassment, bullying or abuse from staff in last 12 months, as reported in the National NHS Staff Experience Survey with a target of being at least equal or below the average of other mental health and learning disability Trusts (Benchmark group) (Workforce Race Equality Standard Metric 6)	 As action for Metric 3 19. Implement the programme to adopt the 'Just and Learning Culture'. 20. Monitor progress with reference to ethnicity data and feedback from the BAME staff network group. 21. Case management reporting to report all cases by ethnicity 	March 2021 Quarterly review Monthly Review	Action reviewed in August 2020.	The Position in July 2020 is based on the 2019 staff survey SHSC is above the average benchmark SHSC BAME 26.4% Benchmark BAME Group 25.5%
WRES 7 Race Improve the percentage of BAME staff believing that trust provides equal opportunities for career progression or promotion as reported in the NHS staff experience survey and maintain at least above the average for the benchmark group. (Workforce Race Equality Standard Metric 7)	 As action for Metric 1 22. Integrate a focus on development of BME staff into the Trust Leadership and development Achieve through comprehensive EIA of the implementation plans of the People Strategy and the OD Strategy 23. Review the Build Modify Expand BAME Board mentoring programme. 24. Review the availability of development opportunities/programmes for staff in bands 2 to 5 – identify further action based on the outcome 	December 2020 December 2020 December 2020	Action reviewed in August 2020.	The Position in July 2020 is based on the 2019 staff survey SHSC is above the average benchmark SHSC BAME 77.8% Benchmark BAME Group 74.3%
Reduce the percentage of BAME staff who say that In the last 12 months they have personally experienced discrimination at work from any of the	25. Review through a 'Big Conversation' why BME staff	December 2020	Action reviewed in August 2020.	The Position in July 2020 is based on the 2019 staff survey SHSC is above the average benchmark

following? b) Manager/team leader or other colleagues. (Workforce Race Equality Standard Metric 8)	experience of racial discrimination		SHSC BAME 9.1% Benchmark BAME Group 14%
BAME ethnicity of the organisations' Board voting membership and its overall workforce is equal. (Workforce Race Equality Standard Metric 9)	26. Where underrepresentation is identified 'positive action' in recruitment of Board members will be considered.	March 2021	In relation to the BAME Ethnicity of the Workforce The ethnicity of the organisation is 14.6%. The percentage ethnicity of the Board is 7.7%. There is therefore a -6.9% difference in relation to the ethnicity of the people who work in our services. And Board membership.

Appendix 1 – WRES Targets Summary 2016 – 2021

Metric One

- Increase the numbers of staff from BAME groups in Bands 3 and 4 to 14%
- Increase the number of staff from BAME groups in Bands 6 and 7 and 8a, b, c, d to 9%
- Improve ethnicity recording for staff in Band 3 to 5% not known

Metric Two

 Decrease the WRES score for Metric Two to 0.8 and 1.25 (updated 2019 in line with national target)

Metric Three

- Decrease the WRES score for Metric Three to 0.8 and 1.25 (updated 2019 in line with national target)
- Improve ethnicity recording for staff subject to disciplinary to 95%

Metric Four

 Decrease the WRES score for Metric Four to 0.8 and 1.25 (updated 2019 in line with national target)

Metric Five

- A year on year reduction from previous year
- BME percentage is equal to or less than White percentage
- BME percentage is less that median for mental health

Metric Six

- A year on year reduction from previous year
- BME percentage is equal to or less than White percentage
- BME percentage is less that median for mental health

Metric Seven

- A year on year increase from previous year
- BME percentage is equal to or more than White percentage
- BME percentage is more that median for mental health

Metric Eight

- A year on year reduction from previous year
- BME percentage is equal to or less than White percentage
- BME percentage is less that median for mental health

Metric Nine

• Board BME voting membership 14% (to be revised year on year)