

Board of Directors – Open

Date: 9 September 2020

Item Ref:

19

TITLE OF PAPER	Register of Interests and Register of Hospitality, Sponsorship & Gifts 2019/20
TO BE PRESENTED BY	David Walsh, Director of Corporate Governance (Board Secretary)
ACTION REQUIRED	The Board is to receive the register of interest and register of sponsorship, hospitality and gifts.

OUTCOME	The Committee will receive assurance that the registers are being maintained in accordance with the Managing Conflicts of Interest in the NHS policy.
TIMETABLE FOR DECISION	9 September 2020
LINKS TO OTHER KEY REPORTS / DECISIONS	Counter Fraud, Bribery & Corruption Policy Standing Orders, Reservation and Delegation of Powers and Standing Financial Instructions
STRATEGIC AIM: STRATEGIC OBJECTIVE: BAF RISK NUMBER: BAF RISK DESCRIPTION:	Create a great place to work CQC: getting back to good BAF.0002 Risk the Trust does not deliver on its Well-Led Development Plan. This would result in a failure to meet the regulatory framework, get back to good and a failure to remove additional conditions placed on the Trust's Provider Licence.
LINKS TO NHS CONSTITUTION & OTHER RELEVANT FRAMEWORKS, RISK, OUTCOMES ETC	SHSC Constitution NHS Foundation Trust Code of Governance 7 Principles of Public Life
IMPLICATIONS FOR SERVICE DELIVERY & FINANCIAL IMPACT	The NHS as a public sector organisation must be impartial and honest in the conduct of its business and employees must be beyond suspicion. This Trust therefore adopts a transparent approach to all its activities in line with the Nolan principles, which are undertaken in line with the Trust's robust governance arrangements and according to its provider licence and constitution.
CONSIDERATION OF LEGAL ISSUES	It is a legal requirement for the Trust to have a publicly available register of interests.

Author of Report	Sam Stoddart
Designation	Deputy Board Secretary
Date of Report	August 2020

SUMMARY REPORT

1. Purpose

<i>For Approval</i>	<i>For Assurance</i>	<i>For a collective decision</i>	<i>To report progress</i>	<i>To seek input from</i>	<i>For information</i>	<i>Other (Please state below)</i>
x						

2. Summary

The Trust's policy on Managing Declarations of Interest in the NHS stipulates that the register should be presented to the Audit Committee and Board of Directors on an annual basis. The Audit Committee received a report at its 28 May 2020 meeting on how the policy was being applied and were duly assured in relation to the Register of Interests. However, concern was expressed that no declarations of gifts had been made in the 2019/20 financial year and it therefore sought additional assurance regarding application of this element of the policy. A report was therefore presented to the Committee on 21 July 2020 which provided the necessary assurance required by the Committee regarding declaration of gifts.

2.1 Process

At the beginning of the financial year directors are reminded about and asked to share with their staff the need to make annual declarations of interest where they meet the criteria set down in the policy, and the rules in relation to acceptance of gifts and hospitality. In addition, staff who fall within the groups required to make a declaration are individually reminded to make a declaration. There are also intermittent articles in 'Connect' reminding staff of the rules for accepting and declaring gifts (three between September 2019 and July 2020) and the need to declare any interest.

Staff are required to take personal responsibility for ensuring they comply with the policy and for declaring any gifts and interests, and to seek guidance where necessary. The register of interests and gifts is maintained throughout the year to reflect declarations as and when they are made. The Board's declarations are a matter of public record and the register of interests is therefore available via the Trust's website.

3. Next Steps

The Register of Interests and Gifts will continue to be maintained and updated in line with the requirements of the Managing Conflicts of Interest in the NHS Policy which is due to be reviewed in the coming month. The revised policy will be presented to a future Audit Committee for comments prior to taking the formal governance route required for ratification of policies. However, it is anticipated that few, if any changes will be made as the policy is nationally mandated. As there have been no additional national guidance issued since its last ratification which would warrant any change to the policy, significant change is unlikely.

The Board is asked to note that it will receive the 2020/21 Register of Interests and Gifts in the 2021/22 financial year.

4. Required Actions

The Board is asked to acknowledge the Audit Committee's assurance in relation to the 2019/20 Register of Interests and declarations of gifts and that due process is being followed.

The Board is also asked to receive the Board's declaration of interest ending 31 March 2020 (see appendix A), which is a matter of public record and included on the Trust's website.

5. Monitoring Arrangements

It is the responsibility of the Director of Corporate Governance (Board Secretary) to ensure the Trust holds Registers of Interest in line with the requirements set out in the Trust's Constitution and the NHS Foundation Trust Code of Governance.

6. Contact Details

David Walsh

Director of Corporate Governance (Board Secretary)

Email: David.walsh@shsc.nhs.uk

APPENDIX A: Board Register of Interests as at 31 March 2020

Personal Details	Details Of Declared Interest	Identified potential for conflict of Interest and action taken by Trust	Date From	Date To	Date Notified/ Registered
Trust Board					
Ann Stanley	Nothing to declare				15/5/19
Brendan Stone	Board member, Sheffield Flourish		2012	Ongoing	7/10/19
Clive Clarke	Wife is HR Partner and Ward Clerk on Endcliffe Ward, Longley Centre		April 2018	Ongoing	14/6/19
Cllr Olivia Blake	<ul style="list-style-type: none"> • Sheffield City Councillor • Deputy Council Leader • Cabinet member for Finance, Resources and Governance • Joint Commissioning Committee • Member of Labour Party, Co-operative Part, GMB Trade Union • Husband, Lewis Dagnall is a local Councillor and also a member of the Joint Commissioning Committee and an employee of the University of Sheffield and a PhD student with White Rose funding <p>With effect from 6/11/19 Olivia Blake will be standing as the Labour Party candidate for the parliamentary constituency of Sheffield Hallam and as such will take a leave of absence from her role as the Local Authority nominated Non-Executive Director until the outcome of the election has been confirmed.</p> <p>LEFT POST 13/12/2019</p>	<p>Cllr Blake declares an interest in any issues relating to the Trust's partnership agreement with the Local Authority. However, it is determined to be non-pecuniary and would not require Cllr Blake leaving the meeting during related discussions. In the instance of a specific conflict, Cllr Blake does not contribute to the consideration and/or any ultimate recommendation to the Board.</p>	May 2014 April 2017 April 2018	Ongoing Aug 2019 Aug 2019	10/7/19
			April 2018	Ongoing	
Dean Wilson	Senior Director of Calverton Miners Welfare		June 2018	Ongoing	25/5/19
Heather Smith	Nothing to declare				18/9/19
Jan Ditheridge	Nothing to declare				2/3/20

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Jayne Brown	Owner and Managing Director of Jayne Brown Strategic Solutions Ltd	May from time to time work within the NHS but will recuse any association with SHSC	2013	Ongoing	28/5/19
	Director, the Value Circle Ltd		13/9/19	Ongoing	19/9/19
Kevan Taylor	Spouse is Senior Occupational Therapist in the Trust LEFT POST 30 SEPT 19		Ongoing		23/4/19
Laura Serrant	Chair of Chief Nursing Officer (CNO) Strategy Advisory Group Chair of CNO BME Strategic Advisory Group Head of Nursing Department, Manchester Metropolitan University LEFT POST 31 JULY 2019		2016	Ongoing	7/6/19
			2016	Ongoing	
			Dec 2018	Ongoing	
Liz Lightbown	Director, 7 Hills Care & Support Ltd	Non-shareholder, company dormant	1 Nov 2019	Ongoing	1/11/19
Margaret Saunders	Director, 7 Hills Care & Support Ltd Spouse is public member, NHS National Institute for Health Research Programme, Programme Advisory Board Spouse is lay member, NHS Wakefield Clinical Commissioning Group LEFT POST 31/10/2019	Non-shareholder, company dormant, if commences trading will resign from post	May 2018	Oct 2019	18/6/19
			May 2016	Ongoing	
			February 2017	Ongoing	
Mike Hunter	Associate National Clinical Director for Mental Health, NHSE Chair, Specialised Mental Health Services Clinical Reference Group NHSE Wife is consultant at STH		2017	Ongoing	3/6/19
			2019	Ongoing	
			2017	Ongoing	
Phillip Easthope	Nothing to declare				30/4/19

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Richard Mills	Director, Healthplanning Ltd Spouse is Director of Healthplanning Ltd Spouse is member of SYHA Audit Committee		25/6/12 25/6/12 Dec 17	31/8/19 31/8/19 Ongoing	25/10/19 21/5/19
Samantha Harrison	(Interim agency position) Director of Zenith Executive Coaching Ltd (dormant company) Bank employee, Derbyshire Healthcare NHS FT		Oct 2019 April 2019	Ongoing Ongoing	27/11/19
Sandie Keene	Trustee, Langley House Trust		2016	Ongoing	30/5/19