

## Council of Governors: Summary Sheet

**Title of Paper:** Significant Issues Report: Finance & Performance Committee

**Presented By:** Richard Mills

**Action Required:**

<b>For Information</b>	<input checked="" type="checkbox"/>	<b>For Ratification</b>	<input type="checkbox"/>	<b>For a decision</b>	<input type="checkbox"/>
<b>For Feedback</b>	<input type="checkbox"/>	<b>Vote required</b>	<input type="checkbox"/>	<b>For Receipt</b>	<input type="checkbox"/>

To which duty does this refer:

Holding non-executive directors individually and collectively to account for the performance of the Board	X
Appointment, removal and deciding the terms of office of the Chair and non-executive directors	
Determining the remuneration of the Chair and non-executive directors	
Appointing or removing the Trust's auditor	
Approving or not the appointment of the Trust's chief executive	
Receiving the annual report and accounts and Auditor's report	
Representing the interests of members and the public	
Approving or not increases to non-NHS income of more than 5% of total income	
Approving or not significant transactions including acquisitions, mergers, separations and dissolutions	
Jointly approving changes to the Trust's constitution with the Board	
Expressing a view on the Trust's operational (forward) plans	
Consideration on the use of income from the provision of goods and services from sources other than the NHS in England	
Monitoring the activities of the Trust to ensure that they are being conducted in a manner consistent with its terms of authorisation and the constitution	
Monitoring the Trust's performance against its targets and strategic aims	

### How does this item support the functioning of the Council of Governors?

Governors are responsible for holding NEDs to account and will do this by receiving significant issues reports and questioning NEDs on how they are holding the board to account.

**Author of Report:** Richard Mills

**Designation:** Non-Executive Director

**Date:** February 2020

## Board of Directors' - Open

Date: 12 February 2020

Item Ref: 14ci

<b>TITLE OF PAPER</b>	<b>Finance &amp; Performance Committee Summary Report to the Board of Directors in respect of Significant Issues</b>
<b>TO BE PRESENTED BY</b>	Ms. Richard Mills, Chair – Finance & Performance Committee Non-Executive Director
<b>ACTION REQUIRED</b>	For assurance

<b>OUTCOME</b>	To provide assurance to the Board that the Finance & Performance Committee has discharged its duties as directed by the agreed terms of reference by the timely reporting of items of significance discussed at the meeting held on 27 January 2020
<b>TIMETABLE FOR DECISION</b>	None required.
<b>LINKS TO OTHER KEY REPORTS / DECISIONS</b>	Minutes of the committee.
<b>STRATEGIC AIM STRATEGIC OBJECTIVE  BAF RISK NUMBER &amp; DESCRIPTION</b>	Aim 1 Quality & Safety 1 – Effective governance, quality assurance and improvement will underpin all we do A101III Trust governance systems are not sufficiently embedded which may reduce the effective means by which executive directors can consistently and continually be held to account for the delivery of sound strategies, effective management of risk and the quality of service provided by the organisation
<b>LINKS TO NHS CONSTITUTION /OTHER RELEVANT FRAMEWORKS, RISK, OUTCOMES ETC</b>	Trust Board Assurance Framework NHS Audit Framework
<b>IMPLICATIONS FOR SERVICE DELIVERY &amp; FINANCIAL IMPACT</b>	Timely reporting to the Board of Directors
<b>CONSIDERATION OF LEGAL ISSUES</b>	None required.

--	--

<b>Author of Report</b>	Richard Mills
<b>Designation</b>	Chair – Finance & Performance Committee
<b>Date of Report</b>	February 2020

# Summary Report

## 1. Purpose

For approval	For assurance	For collective decision	To seek input	To report progress	For information	Other (Please state)
				X	X	
To report in a timely manner, items of significance discussed at the Finance & Performance Committee meeting held on 27 January 2020.						

## 2. Summary

Board members will receive the minutes of the Finance & Performance Committee meeting held on 27 January 2020 in due course, however, at the end of the meeting, the Committee agreed by means of this report to notify Board members of the following significant issues:

### Provider Collaboration Progress Report

The committee were pleased to receive a progress report on the development of the Provider Collaborative Programme of work, including a summary of the range of issues to be progressed through the coming months.

### Operational Plan Progress Report

The committee received a progress report on the delivery of actions and improvements defined in the Operational Plan for 2019/20.

### Confidential Finance Report for the Period Ending December 2019

Members received the Confidential Finance Report for the period ending December 2019 and confirmed their assurance regarding current financial management. The forecast out-turn position was noted, together with the areas of uncertainties regarding system income.

### Financial Planning – First Draft Financial Plan 2020/21

The committee received an outline of the key financial planning assumptions in respect of the development of the 2020/21 Financial Plan. The proposed forecast and budget setting timetables in respect of this process were acknowledged.

### SHSC Microsoft Licence Renewal Business Case

The committee retrospectively approved the Trust's business case for the renewal of Microsoft licences which due to the timeframes involved was approved virtually by members during December 2019.

### Board Assurance Framework & Corporate Risk Register

The committee reviewed the financial risks within the current Board Assurance Framework and Corporate Risk Register and were happy with the level of assurance provided, recognising there is a piece of work to be completed on the future risks for the Board Assurance Framework were reviewed by the Committee.

### **3 Next Steps**

The above items will be taken forward by the committee as appropriate and to timescales agreed via the action log.

### **4 Required Actions**

For the Board of Directors to:

- Note the issues raised and receive assurance that the committee will action the resulting issues as appropriate.

### **5 Monitoring Arrangements**

Through the Finance & Performance Committee.

### **6 Contact Details**

For further information please contact:

Richard Mills,  
Chair – Finance & Performance Committee  
Non-Executive Director