

## BOARD OF DIRECTORS MEETING (Open)

Date: 10<sup>th</sup> July 2019

Item Ref: 16

<b>TITLE OF PAPER</b>	Mortality – Quarterly Review Q4 2018/19
<b>TO BE PRESENTED BY</b>	Mike Hunter, Executive Medical Director
<b>ACTION REQUIRED</b>	Receive this report
<b>OUTCOME</b>	To reduce preventable mortality within the Trust.
<b>TIMETABLE FOR DECISION</b>	Discussed at the Quality Assurance Committee in May 2019 and Board of Directors in July 2019.
<b>LINKS TO OTHER KEY REPORTS / DECISIONS</b>	Incident Management Quarterly Reports to the Quality Assurance Committee Monthly Safety Dashboards LeDeR Reports
<b>LINKS TO OTHER RELEVANT FRAMEWORKS BAF, RISK, OUTCOMES</b>	Strategic Aim: Quality and Safety Strategic Objective: A101 Effective quality assurance and improvement will underpin all we do BAF Risk: A101ii Inability to provide assurance regarding improvement in the quality of patient care CQC Regulation 18: Notification of other incidents CQC's Review of Learning from Deaths LeDeR Project NHS Sheffield CCG's Quality Schedule NHS England's Serious Incident Framework SHSC's Incident Management Policy and Procedures SHSC's Duty of Candour Policy SHSC's Learning from Deaths Policy National Quality Board Guidance on Learning from Deaths
<b>IMPLICATIONS FOR SERVICE DELIVERY AND FINANCIAL IMPACT</b>	Poor patient care. Preventable mortality could lead to reputation damage, poor staff morale and ultimately service closure.
<b>CONSIDERATION OF LEGAL ISSUES</b>	Potential breaches of regulatory, contractual and statutory legislation. Increased risk of litigation and coronial rulings.

<b>Author of Report</b>	Tania Baxter
<b>Designation</b>	Head of Clinical Governance
<b>Date of Report</b>	2 July 2019

## SUMMARY REPORT

---

**Report to:** BOARD OF DIRECTORS MEETING

**Date:** 10 July 2019

**Subject:** Mortality – Quarterly Review Q4 2018/19

**Presented by:** Mike Hunter, Executive Medical Director

**Author:** Tania Baxter, Head of Clinical Governance

---

### 1 Purpose

<i>For Approval</i>	<i>For a collective decision</i>	<i>To report progress</i>	<i>To seek input from</i>	<i>For information</i>	<i>Other (please state below)</i>
		✓		✓	

### 2 Summary

This report provides the Board of Directors with an overview of the Trust’s mortality and the continued findings from the Trust’s Mortality Review Group (MRG).

The MRG considers deaths suitable for a Structured Judgement Review (SJR) to be undertaken. All completed SJRs are taken through the Trust’s Service User Safety Group, then into the Patient Safety and Experience Team, for onward dissemination and feedback to the teams involved in care provision. A flowchart is in operation which sets out this process.

Mike Hunter, Executive Medical Director, is the nominated Executive Director with the lead for mortality within the Trust and Sandie Keene is the nominated Non-Executive Director overseeing the learning from deaths processes and progress in this area.

#### **SHSC’s Mortality Review Group (MRG) (the Group)**

The Group, chaired by the Executive Medical Director, meets weekly and considers and discusses all deaths that have been recorded as an incident on the Trust’s risk management system (Ulysses), together with sampling a number of deaths not recorded as an incident, but whose death has been recorded through national death reporting processes. During Q4 20 deaths from the spine have been examined, with one of these identified as benefitting from a SJR being undertaken. A further 80 deaths were reviewed by the Group following the death being reported via the Trust’s Ulysses system.

Each death is considered to ascertain if sufficient information is known about the care provided, leading up to the person’s death, to enable the Group to be satisfied and assured. Factors such as the cause of death, concerns regarding the care provision (raised by family or staff), medication concerns, etc are taken into account when deciding whether the Group is assured and adequately understand the circumstances leading to the death. Where all these factors are not known, further investigatory work is undertaken and brought back to the Group.

## **Structured Judgement Reviews (SJRs)**

The Trust has been using the SJR template developed through the Yorkshire and the Humber NHS Improvement Academy (Y&H IA) since August 2018. This is a single stage approach with a judgement provided at each stage of care, together with an overall judgement of care. In November 2018 the Royal College of Psychiatrists (RCP) published their Care Review Tool ([click here to view](#)). This is very similar to the Y&H IA tool but adds an indicator of avoidability into the review. SHSC has carried out one SJR using the RCP tool and will continue to assess its usability.

An in-depth look at SJRs has been requested by the Quality Assurance Committee, at March's meeting. This has been scheduled into the Committee's work programme.

## **LeDeR**

The Learning Disabilities Mortality Review Programme (LeDeR) was established to drive improvement in the quality of health and social care service delivery for people with learning disabilities and to help reduce premature mortality and health inequalities in this population. In line with requirements, SHSC has reported all deaths of individuals with a learning disability to the LeDeR project since 1 November 2016. Anita Winter is the Local Area Contact for LeDeR and manages the process of allocating cases to local trained reviewers and quality assessing the completed reviews. The completed reviews are submitted to LeDeR, who provides independent quality assurance on the review. SHSC's MRG receive the LeDeR findings of cases submitted from the Trust. This then enables these deaths to be 'adequately understood'. Findings from each review including lessons learnt and recommendations are fed into the LeDeR Steering Group which are taken forward for action/implementation.

Eight deaths have been reported to LeDeR, from the Trust, during quarter 4. Findings/outcomes/lessons learned from a number of LeDeR reviews covering the 2017/18 financial year have recently been received and are being scheduled for discussion through the MRG meetings.

## **Learning from Deaths – Dashboard**

NQB Guidance states that Trusts must report their mortality to a public Board meeting on a quarterly basis. The dashboard attached at Appendix 1 has been developed by the Northern Alliance for this purpose. Due to the previous inconsistent methodology around SJRs for mental health trusts, the Northern Alliance Trusts agreed not to publish data on 'preventable deaths' within the dashboard. With the publication of the RCP Care Review Tool, Trusts should be able to start to quantify the avoidability of deaths in a relatively consistent way.

What is currently recorded in the dashboard as 'learning points' are actions arising from serious incident investigations that will potentially result in changes in practice. As SJRs are completed, any learning resulting in practice changes are incorporated into the dashboard. The dashboard currently shows no 'learning points' from the learning disability deaths recorded. This is because none of the incidents were serious incidents requiring an investigation and the findings from the LeDeR reviews received have yet to be discussed at MRG. Figures within the dashboard are refreshed on a quarterly basis to capture those investigations that are not completed (including LeDeR reviews) at the time of reporting.

The dashboard contains information from the Trust's risk management system (Ulysses) as well as information from the Trust's patient administration system (Insight). All deaths recorded on Ulysses have been included, together with all deaths recorded on Insight where an individual has received contact from Trust services within 6 months of the date of death, irrespective of whether the individual had an open episode of care at the time of death.

Deaths are reported separately for inpatient services and learning disability services, all other deaths, ie community and individuals within residential settings, are recorded collectively.

Whilst all deaths (including serious incidents (SIs)) are reviewed within Mortality Review Group meetings, for the purpose of the dashboard, these have only been counted once (ie under those reviewed through SI processes).

### **3 Next Steps**

- Feedback from LeDeR reviews will be incorporated into these reports as and when available;
- Quarterly reporting to the Executive Directors Group, Quality Assurance Committee and Board of Directors will continue.

### **4 Required Actions**

The Board of Directors is asked to:

- Receive this report.

### **5 Monitoring Arrangements**

Mortality discussions occur weekly, the results from which are reported monthly to the Service User Safety Group. Reporting on the categorisation of deaths (eg natural causes, suicide, drug/alcohol related, etc), following coronial procedures is incorporated in the monthly safety dashboard reported to the Quality Assurance Committee and Board of Directors.

Quarterly reporting to the Quality Assurance Committee and Board of Directors, in line with the guidance from the NQB, is established.

Annual mortality reporting has been incorporated into the Quality Report from 2017/18.

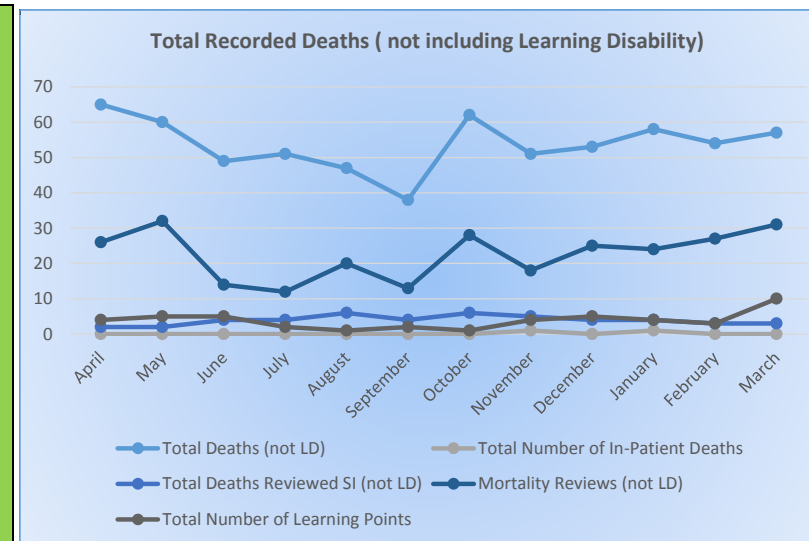
### **6 Contact Details**

For further information, please contact: Tania Baxter, Head of Clinical Governance, Tel: 0114 226 3279, [tania.baxter@shsc.nhs.uk](mailto:tania.baxter@shsc.nhs.uk)

**Summary of total number of deaths and total number of cases reviewed under the SI Framework or Mortality Review**

**Total Number of Deaths, Deaths Reviewed (does not include patients with identified learning disabilities)**

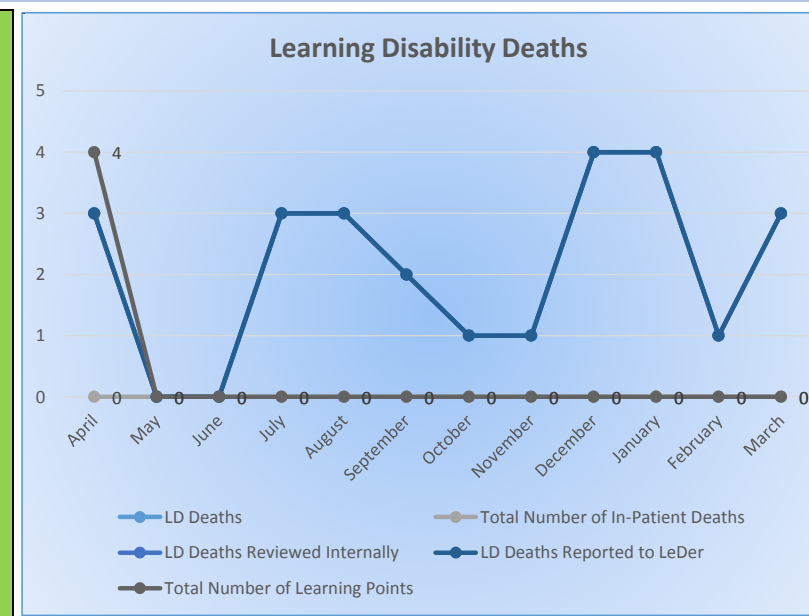
Total Number of Deaths	Total Number of In-Patient Deaths	Total Number of Deaths Reviewed in Line with SI Framework	Total number of deaths subject to Mortality Review	Total number of actions resulting in change in practice
Q1	Q1	Q1	Q1	Q1
<b>174</b>	<b>0</b>	<b>8</b>	<b>72</b>	<b>14</b>
Q2	Q2	Q2	Q2	Q2
<b>136</b>	<b>0</b>	<b>14</b>	<b>45</b>	<b>5</b>
Q3	Q3	Q3	Q3	Q3
<b>166</b>	<b>1</b>	<b>15</b>	<b>71</b>	<b>10</b>
Q4	Q4	Q4	Q4	Q4
<b>169</b>	<b>1</b>	<b>10</b>	<b>82</b>	<b>17</b>
YTD	YTD	YTD	YTD	YTD
<b>645</b>	<b>2</b>	<b>47</b>	<b>270</b>	<b>46</b>



**Summary of total number of Learning Disability deaths and total number of cases reviewed under the SI Framework or Mortality Review**

**Total Number of Learning Disability Deaths, and total number reported through LeDer**

Total Number of Learning Disability Deaths	Total Number of In-Patient Deaths	Total Number of Deaths Reviewed in Line with SI Framework or Subject to Mortality Review	Total number of deaths reported through LeDer	Total number of actions resulting in change in practice
Q1	Q1	Q1	Q1	Q1
<b>3</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>4</b>
Q2	Q2	Q2	Q2	Q2
<b>8</b>	<b>0</b>	<b>8</b>	<b>8</b>	<b>0</b>
Q3	Q3	Q3	Q3	Q3
<b>6</b>	<b>0</b>	<b>6</b>	<b>6</b>	<b>0</b>
Q4	Q4	Q4	Q4	Q4
<b>8</b>	<b>0</b>	<b>8</b>	<b>8</b>	<b>0</b>
YTD	YTD	YTD	YTD	YTD
<b>25</b>	<b>0</b>	<b>25</b>	<b>25</b>	<b>4</b>



## **Learning From All Deaths Within Mental Health And Learning Disability Services**

**Understanding the data around the deaths of our service users is a vital part of our commitment to learning from ALL DEATHS. Working with eight other mental health trusts in the north of England we have developed a reporting dashboard that brings together important information that will help us to do that. We will continue to develop this over time, for example by looking into some areas in greater detail and by talking to families about what is important to them. We will also learn from developments nationally as these occur. We have decided not to initially report on what are described in general hospital services as “avoidable deaths” in inpatient services. This is because there has previously been no research base on this for mental health services and no consistent accepted basis for calculating this data. In November 2018 the Royal College of Psychiatrists developed a Care Review Tool which introduces the 'avoidable mortality' question. We are continuing to work with the other trusts in the North of England to test this approach and will review this dashboard accordingly, following this.**